

Personal Information Collection Statement

The following Personal Information Collection Statement is provided to you by BIL Wealth Management Limited (“**Company**”) pursuant to:

- the Personal Data (Privacy) Ordinance (Laws of Hong Kong, Cap. 486) (“**PDPO**”);
- Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (“**GDPR**”);
- the Federal Act on Data Protection of 25 September 2020 (“**FADP**”).

1.1 Collection

From time to time, it is necessary for you to supply the Company with personal data, relevant information about you, your transactions, your use of any of the services set out in the Client Agreement entered into between the Company and you (“**Services**”), and your relationship with the “**BIL Group**” (i.e. the Company, its immediate holding company and its affiliates together with any of their respective successors and assigns) (collectively “**Client Information**”) in connection with various matters such as account opening or continuations, provision of services to you or compliance with any laws or guidelines issued by regulatory or other authorities.

The kind of Client Information that may be collected includes, but is not limited to, name and address, occupation, contact details, date of birth, marital status, nationality, identity card or passport numbers, details of financial and investment status. It is also the case that Client Information is collected from you in the ordinary course of the continuation of your relationship with the Company, for example, when you direct the Company to effect a transaction or generally communicate verbally or in writing with the Company.

While it is not mandatory for you to provide your Client Information, if you do not provide such Client Information, the Company may not be able to open an account for you or provide you with the Services. Failure to supply such Client Information may also result in the Company being unable to comply with applicable laws or regulations or guidelines and codes issued by regulatory or other authorities.

The Client represents, warrants and undertakes that (i) all Client Information provided to the Company is accurate and complete, and (ii) if any Client Information provided to the Company includes information relating to other parties, prior to such disclosure, the Client had obtained the appropriate consent from such individuals for such disclosure and ensured that any and all such information is accurate and complete.

1.2 Purpose and Use

You authorise and consent to the Company and the Potential Transferees (as defined below) and their respective officers, employees, agents, and representatives collecting, using, disclosing, transferring and processing all Client Information for the purposes listed below (the “**Purposes**”):

- (a) to manage your relationship with the Company;
- (b) to set up or close your accounts with the Company;
- (c) to maintain (including updating transactions of) or operate your accounts with the Company;
- (d) to provide the Services or any transactions requested or authorised by you;
- (e) to collect any amounts due from you;
- (f) for the Company’s internal operational requirements (including, without limitation, credit, market, operational and technology risk management, system or product development and planning, insurance, audit and administrative purposes);

- (g) to meet compliance obligations, requirements or arrangements of the Company to comply with, or in connection with any applicable laws, regulations or rules, or any rules, codes, guidelines or directions issued by such legal, governmental, regulatory, tax, law enforcement or other authorities with which the Company is expected to comply;
- (h) unless otherwise objected by you in the Account Opening Forms, in direct marketing by the Company or for provision to the Potential Transferees (as defined below) for their use in direct marketing, including to provide you with marketing, advertising and promotional information, materials and/or documents relating to the investment products and/or services that the Company or those parties may be offering or managing, whether such products or services exist now or are created in the future through the channels indicated in your Account Opening Forms;
- (i) to conduct identity and/or credit checks and obtaining or providing credit references;
- (j) to enforce or defend our rights, or the rights of a member of the BIL Group; and/or
- (k) any other purpose directly related to the above.

1.3 Retention and Transfer

The Client Information collected may be maintained for such period of time required under applicable law and as otherwise needed to fulfil the Purposes, but may not be kept longer than is necessary for the fulfillment of the Purposes.

The Client Information held by the Company relating to you will be kept confidential and the Company will take all practical steps to ensure that the Client Information is kept secure against unauthorized or accidental access, loss, disclosure and destruction.

The Company may provide the Client Information to the following parties ("**Potential Transferees**"), whether inside or outside of Hong Kong, for the Purposes set out above:

- (a) any entities within the BIL Group (located in Luxembourg, Switzerland and China);
- (b) any service providers of the Company, including but not limited to any custodians, banks, legal advisors, accountants and auditors of the Company;
- (c) any agents, exchange, market, clearing house, broker, depository or depository agent, intermediary, contractor or third party service provider who provides administrative, research, design, launch, digital or telecommunication, trading, clearing and settlement or other services to the Company in connection with the operation of its business;
- (d) any issuer, investment manager, investment advisor, trustee or administrator of, or any similar operators or key parties in connection with, the products offered to you;
- (e) the employees, officers, directors of the Company; and
- (f) the Hong Kong Exchanges and Clearing Limited, the Securities and Futures Commission, any relevant exchange, any legal, governmental, tax, law enforcement or other regulatory authority, body or entity under any domestic and foreign applicable laws and regulations, rules, codes, guidelines or directions to which the Company is subject.

1.4 Data Access Requests

Under the PDPO, you have the right to, amongst other things:

- (a) check whether the Company holds Client Information about you, and access to such Client Information;
- (b) require the Company to correct any Client Information relating to you which is inaccurate;

- (c) ascertain the Company's policies and practices in relation to Client Information and to be informed of the kinds of Client Information held by the Company; and object to the use of your Client Information and/or the provision of your Client Information to third parties for direct marketing purposes and the Company shall not use your Client Information in any way for direct marketing purposes and/or provide your Client Information for such purposes (as the case may be) after you communicate your objection to the Company, each of (a) and (b) above being a "**Data Access Request**".

If you chose the service to be provided in Luxembourg, the GDPR sets out the following rights:

- (a) the right to access your personal data;
- (b) the right to rectify your personal data;
- (c) where possible, the right to erase your personal data;
- (d) the right to restriction of processing;
- (e) the right to portability of your personal data;
- (f) the right to object to the processing.

If you chose the service to be provided in Switzerland, you can exercise the following rights under the FADP:

- (a) the right of access to your personal data;
- (b) the right to portability;
- (c) the right to personal data deletion;
- (d) the right to personal data rectification;
- (e) the right to personal data processing prohibition;
- (f) the right to personal data non-disclosure;
- (g) the right to inform of measures relating to personal data.

Upon receiving an exercise of rights, the Company will process it within 40 days (under the PDPO) or 30 days (under the GDPR and FADP). The requests made under the GDPR and FADP shall be free of charge for you. Under the PDPO, the Company has the right to charge a reasonable fee for processing any Data Access Request and/or refuse a Data Access Request with reasons of refusal provided to you. You are entitled to object to a Data Access Request refusal from the Company.

You have the right to access and request a copy of such personal data and may request the deletion, correction of the personal data (if applicable), or the withdrawal of consent. In some circumstances, Client may also have a data portability right to require the Company to transfer the Client's personal data to a third party. Any such requests must be made in accordance with the requirement under applicable laws and regulations and shall be addressed to the Data Protection Officer at

Suite 3414-3417, 34/F.
Jardine House
1 Connaught Place
Central
Hong Kong

Email: general@hk.bil.com
Number: +852 3951 8288

The Company reserves the right, at any time and without prior notice, to add to, change, update or modify this statement. Nothing in this statement shall limit your rights under the PDPO, GDPR or FADP.